



AGENDA ITEM NO: 4

Report To:	Inverclyde Integration Joint Board	Date:	14 November 2023
Report By:	Kate Rocks Chief Officer Inverclyde Health & Social Care Partnership	Report No:	IJB/50/2023/CG
Contact Officer:	Craig Given Chief Financial Officer	Contact No:	01475 715381
Subject:	Financial Monitoring Report 2023/24 Period 5		

1.0 PURPOSE AND SUMMARY

- 1.1 For Decision For Information/Noting
- 1.2 The purpose of this report is to advise the Inverclyde Integration Joint Board (IJB) of the Revenue and Capital Budgets projected financial outturn for the year as at 31 August 2023.
- 1.3 The IJB set their revenue budget for 2023/24 on 20 March 2023, which included the use of £0.802m of reserves held.
- 1.4 Funding of £68.156m was delegated by Inverclyde Council to the IJB for 2023/24. No further additions have been made to the budget up to Period 5.
- 1.5 At the time of setting the budget, indicative funding of £132.579m was delegated from the Health Board, including £35.398m for Set Aside for Inverclyde's share of large hospital functions and £18.975m of Resource Transfer to social care budgets. This budget included an indicative uplift of £1.396m, being 2% for all recurring budgets. Further budgets have been allocated or adjusted up to Period 5 totalling £6.417m, including pay award and Scottish Government funding allocations resulting in a revised budget for reporting purposes of £138.996m.
- 1.6 As at 30 June 2023, it is projected that the IJB revenue budget will have an overall overspend of £0.495m:-
- Social care services are projected to be overspent by £0.023m.
 - Health Services are projected to be overspent by £0.472m.

Should this overspend remain at the end of the financial year it can be contained by making a draw on appropriate reserves. For the purposes of this report this potential draw is shown against general reserves.

- 1.7 As at 1 April 2023 the IJB held a number of Earmarked and General Reserves which are managed in line with the IJB Reserves Policy. The total Earmarked Reserves (EMR) held at the start of the 2023/24 financial year were £22.627m, with £1.635m in General Reserves. Use of Pay Contingency reserve of £0.199m and General Reserve of £0.603 towards funding the overall revenue budget for the year have been reflected in the figures held in this report and in Appendix 8 (EMR updated). The current projected year end position on reserves is a carry forward of £16.096m, and for the purposes of this report, assumes that the current projected overspend of £0.495m will be funded from general reserves held at this stage, as noted at 1.6.
- 1.8 The Social Work capital budget is £9.707m over the life of the projects with £2.601m budgeted to be spent in 2023/24. Slippage of £1.641m is being reported linked to the delay and the extended market testing period on the Community Hub which is impacting the ability to achieve financial close and progress to the construction phase. Expenditure on all capital projects to 31 August 2023 is £0.136m (5.23% of approved budget, 14.16% of the revised projection). Appendix 7 details capital budgets and spend and a full update is provided at Section 9.
- 1.9 NHS capital budgets are managed by NHS Greater Glasgow and Clyde and are not reported as part of the IJB's overall position. Officers attend and contribute to the Greater Glasgow and Clyde HSCP Capital Planning Group, which gives oversight of associated projects. A general update is provided in section 9 of this report.

2.0 RECOMMENDATIONS

2.1 It is recommended that the Integration Joint Board:

1. Notes the current Period 5 forecast position for 2023/24 as detailed in the report and Appendices 1-3, and the assumption that this will be funded from reserves held
2. Approves the proposed budget realignments and virement (Appendix 4) and authorises officers to issue revised directions to the Council and/or Health Board as required on the basis of the revised figures enclosed (Appendix 5);
3. Notes the position on the Transformation Fund (Appendix 6);
4. Notes the current capital position (Appendix 7);
5. Notes the current Earmarked Reserves position (Appendix 8).
6. Notes the key assumptions within the forecasts detailed at section 10.

Kate Rocks
Chief Officer
Inverclyde Health and Social Care Partnership

3.0 BACKGROUND AND CONTEXT

3.1 From 1 April 2016 the Health Board and Council delegated functions and are making payments to the IJB in respect of those functions as set out in the integration scheme. The Health Board have also “set aside” an amount in respect of large hospital functions covered by the integration scheme.

The IJB Budget for 2023/24 was set on 20 March 2023 based on confirmed Inverclyde Council Funding and indicative NHS GG&C funding. The current total integrated budget is £207.152m, with a projected overspend of £0.495m. The table below summarises the budget and funding from partners, together with the projected operating outturn for the year as at 31 August 2023. It is assumed that the projected overspend will be met from general reserves at this stage.

	Revised Budget 2023/24 £000	Projected Outturn £000	Projected Over/(Under) Spend £000
Social Work Services*	87,288	87,311	23
Health Services*	84,466	84,938	472
Set Aside	35,398	35,398	0
HSCP NET EXPENDITURE	207,152	207,647	495
FUNDED BY			
Transfer from / (to) Reserves	-	495	495
NHS Contribution to the IJB	138,996	138,996	
Council Contribution to the IJB	68,156	68,156	
HSCP FUNDING	207,152	207,647	495
Planned net Use of Reserves as at Period 5		7,671	
Projected HSCP operating (Surplus)/Deficit		495	
Annual Accounts CIES Projected Position DEFICIT/(SURPLUS)		8,166	

*excluding resource transfer

3.2 Appendix 1 provides the overall projected financial position for the partnership showing both the subjective and objective analysis of projections.

4.0 SOCIAL CARE

4.1 Appendix 2 shows the projected position as at Period 5 for Social Care services. It is currently anticipated that Social Care services will overspend by £0.023m in 2023/24.

4.2 The following sections will provide an overview of the main projected variances against Social Care delegated functions.

4.3 The main areas of overspend within Social Care are as follows:-

- Children’s Residential placements is projected to overspend by £1.4m. This is an increase of £0.076m from the position reported at period 3 and is due to the inclusion of costs for an additional 2 children for this financial year, offset by a reduction of 3 children in residential

placements and a £0.2m assumed draw on the smoothing reserve held for this purpose. As previously reported, most of the residential placements overspend was met from Covid reserves in the previous financial year. A review group will be closely monitoring these placements throughout the year to ensure a focussed approach on placements and the associated financial implications, with a view to management action bringing down the overall recurring costs.

- Child respite is currently projected to overspend by £0.288m, a reduction of £0.209m since period 3 and is due to a lower than originally anticipated weekly cost for a significant care package.
- Fostering, adoption and kinship is currently projecting an overspend of £0.218m, a reduction of £0.055m from period 3 due to 2 adoption placements ending. A new Scottish recommended allowance for fostering and kinship carers has been notified to us to be backdated to 1 April 2023. We have received funding confirmation from Scottish Government, and budgets and projected costs will be updated and included in the next budget monitoring report.
- Also within Children and Families there is currently a projected net overspend of £0.228m against Employee Costs, an increase in projected spend of £0.359m from the reported period 3 position. The increase reflects the inclusion of the projected employee costs for the Ravenscraig Children's Unit previously funded from Covid reserves.
- Learning disability client packages are currently projecting to overspend by £0.616m by the year end, an increase of £0.452m since last reported, largely due to an additional 9 service users along with increased package costs. A smoothing reserve is held in relation to these packages if required if the overspend is unable to be contained within the overall position at year end.
- Within the Physical and Sensory Disability service an overspend of £0.262m for client packages is currently projected, being the main reason for the variance reported. It is expected that this will be able to be managed within the overall position, however a client commitments demographic reserve is held for this purpose should it be required.
- Employee costs within Mental Health are expected to overspend by £0.068m by the year end. This is mainly due to the projected underachievement of the service payroll management target at present. This is offset by an underspend in their client commitments noted at 4.4.
- A projected overspend of £0.074m against the homelessness service relates mainly to agency staff costs in relation to the Inverclyde Centre. It is anticipated that these additional costs will be managed within the overall position at this stage.

Current staffing levels within Strategy and Support Services result in a projected under achievement of the payroll turnover target held for the service for the year of £0.118m. This position has improved since last reported and will continue to be monitored as the year progresses.

4.4 The main areas of under spend within Social Care are as follows:-

- Employee costs for the internal care at home service for older people are currently projected to underspend by £0.948m, an increase in costs of £0.348m against the position reported at period 3, which reflects an anticipated reduction in the number of vacancies within the Care at Home service of £0.100m and an adjustment to bring the projected travel costs in line with prior year spend of £0.212m together with other minor changes. As previously reported, the

overall underspend is related to the level of vacancies held by the service. Following the approval of the Care and Support at Home Review, budgets and projections for the increased grades for social care support workers will be updated and included in projections in line with payment dates.

- The external care at home service continues to experience recruitment and retention issues and the number of providers able to provide services is limited, resulting in a current projected underspend of £0.729m for 2023/24. This is an increase in projected costs of £0.167m since period 3 and reflects additional hours now allocated against Direct Awards.
- For Residential and Nursing placement costs the projected net underspend is £0.225m, which represents a reduction in projected costs of £0.300m from the position reported at period 3, largely as a result of the financial assessments undertaken since that time.
- Older people's day services are currently projected to underspend by £0.051m based on current uptakes. Associated transport costs are also projected to underspend by £0.105m, in line with current anticipated usage.
- Staffing costs within Learning Disability are projecting an underspend of £0.267m by the year end due to the level of vacancies at present.
- Assessment and Care Management employee costs are projected to underspend by £0.145m in relation to respite and short breaks. This reflects the current commitments and will be updated as the year progresses.
- Also within Assessment and Care Management, current vacancy levels indicate a projected underspend by the year end of £0.068m.
- Mental Health services is expected to underspend by £0.200m in relation to client commitments.
- Within Alcohol and Drugs Recovery Service there are underspends anticipated for both employee costs and client commitments of £0.086m and £0.218m respectively. Recruitment is under way for a number of posts and updated projections will be provided as the year progresses.

5.0 HEALTH

5.1 Appendix 3 shows the projected position as at Period 5 for Health services. It is currently anticipated that Health services will overspend by £0.472m in 2023/24.

5.2 The main areas of overspend within Health Services are as follows:-

- Mental Health In-Patient services is currently forecast to overspend by £1.6m. This is mainly attributable to continuing recruitment issues, enhanced observations and increased clinical activity for nursing and medical staff. These pressures result in the use of more expensive bank and agency staff.
- The prescribing budget is currently projecting an overspend of £0.750m. There are currently some reporting delays with prescribing data partly due to a new scanning system and the volume of contractor claims being received. The latest information available indicates that there are a number of factors affecting prescribing costs including increased fuel costs, the effect of Brexit and the conflict in Ukraine.

5.3 These are offset by underspends in the following areas:-

- There are underspends throughout services on employee costs in relation to recruitment and retention. The main variances arise in the following services; Children and Families £0.186m, Health and Community Care £0.251m, Alcohol and Drug Recovery Services £0.328m, Admin and Management £0.194m and Planning and Health Improvement £0.149m.
- An underspend of £0.359m is currently forecast within Financial Planning, relating to non-pay budgets held of a corporate nature which do not fit into any specific services. These budgets are traditionally utilised for any unexpected or unbudgeted costs throughout the year so this underspend may reduce as the year progresses. Updates will be provided in future reports accordingly.
- Finally, supplies budgets throughout various services are contributing to a further forecast underspend of £0.402m. This relates to a number of smaller variances spread throughout a number of services for Health.

Set Aside

The Set Aside budget set for 2023/24 was £35.398m. The Set Aside arrangement results in a balanced position each year end.

- The Set Aside budget is the amount “set aside” for each IJB’s consumption of large hospital services.
- Initial Set Aside base budgets for each IJB were based on their historic use of certain Acute Services including A&E Inpatient and Outpatient, general medicine, Rehab medicine, Respiratory medicine and geriatric medicine.
- Legislation sets out that Integration Authorities are responsible for the strategic planning of hospital services most commonly associated with the emergency care pathway along with primary and community health care and social care.
- The Set Aside functions and how they are used and managed going forward are heavily tied into the commissioning/market facilitation work that is ongoing.

6.0 RESERVES

6.1 The IJB holds a number of Earmarked and General Reserves; these are managed in line with the IJB Reserves Policy. The total Earmarked Reserves (EMR) available at the start of this financial year were £22.627m, with £1.635m in General Reserves, giving a total Reserve of £24.262m. As part of the budget setting process, contributions from general reserves of £0.603m and pay contingency smoothing reserve of £0.199m were agreed for the IJB to present a balanced budget for 2023/24 financial year. These contributions are reflected in Appendix 8.

6.2 The current projected year-end position on earmarked reserves is a carry forward of £16.1m to allow continuation of current projects and retention of any unused smoothing reserves. This is a decrease in year due to a net anticipated spend of £8.166m against current reserves, including an assumption that the current projected overspend of £0.495m will be funded from general reserves at this stage and a draw of £0.2m will be made from the Childrens residential placements smoothing reserve towards the overall position. An exercise will be carried out as the year progresses to determine which reserves are the most appropriate to fund any overspends incurred in year.

6.3 The current projected overall position is summarised below:-

	Opening Balance 2023/24	New Funds in Year	Total Funding	Projected Spend 2023/24	Projected C/fwd to 2024/25
	£000s	£000s	£000s	£000s	£000s
Ear-Marked Reserves					
Scottish Government Funding - funding ringfenced for specific initiatives	4,283		4,283	2,690	1,593
Existing Projects/Commitments - many of these are for projects that span more than 1 year (incl new specific earmarking)	8,501		8,501	3,157	5,344
Transformation Projects - non recurring money to deliver transformational change	3,251		3,251	822	2,429
Budget Smoothing - monies held as a contingency for specific volatile budgets such as Residential Services and Prescribing to smooth out in year one off pressures	6,592		6,592	399	6,193
TOTAL Ear-Marked Reserves	22,627	0	22,627	7,068	15,559
General Reserves	1,635		1,635	603	1,032
In Year (Surplus)/Deficit going (to)/from reserves				495	(495)
TOTAL Reserves	24,262	0	24,262	8,166	16,096

7.0 VIREMENT AND OTHER BUDGET MOVEMENTS AND DIRECTIONS

Appendix 4 details the virements and other budget movements that the IJB is requested to approve. These changes have been reflected in this report. The Directions which are issued to the Health Board and Council require to be updated in line with these proposed budget changes and updated Directions are shown in Appendix 5. These require to be issued to the Council and Health Board to ensure that all services are procured and delivered in line with Best Value principles.

8.0 TRANSFORMATION FUND

The Transformation Fund was set up at the end of 2018/19. At the beginning of this financial year, the Fund balance was £1.739m. Spend against the plan is done on a bids basis through the Transformation Board. Appendix 6 details the current agreed commitments against the fund. At present there is £0.580m uncommitted. Transformation fund requests over £0.100m require to be approved by the IJB.

9.0 2023/24 CAPITAL POSITION

9.1 The Social Work capital budget is £9.707m over the life of the projects with £2.601m projected to be spent in 2023/24. Slippage of £1.641m is being reported linked to the delay and the extended market testing period on the Community Hub which is impacting the ability to achieve financial close and progress to the construction phase. Expenditure on all capital projects to 30 June 2023 is £0.136m (5.23% of approved budget, 14.16% of the revised projection). Appendix 7 details capital budgets and spend.

9.2 New Community Hub:

The project involves the development of a new Inverclyde Community Hub. The current progress is as outlined below:-

- Detailed planning approval and first stage building warrant are in place;
- Detail design stage has been completed. There has been slippage on the high level programme previously reported due to delays in receiving the full market testing information from the contractor which has required a further period of due diligence. The returns to date do not align with the available project budget and as a result an extended period of market

testing is required to address this. This will result in a further delay into 1st Quarter 2024 to conclude an extended market test;

- Hub Stage 2 report is pending conclusion of the market testing process;
- As previously reported, the main risk to the project remains in connection with affordability in relation to inflation and the challenging economic / market conditions which continue to impact the delivery of all capital programme projects, and this has been a significant factor in the requirement for an extended market testing phase;
- Engagement with the Client Service has continued in respect of loose and fitted furniture / equipment allowances;
- Consultation with service users, families, carers and all learning disability staff both NHS and Social Care continues. Updates on progress are included in the Learning Disability newsletters that are sent out to a wider group of service users, families, carers, staff and the wider community, published on social media platforms and council web pages.

9.3 SWIFT replacement

The discovery phase of the implementation of the ECLIPSE system is still ongoing, with project staff carrying out due diligence in relation to functionality issues and potential delays following the issue of OLM's Discovery Report. The first payment milestone will only be met following sign off the discovery report.

9.4 Health Capital

Greater Glasgow and Clyde Health Board are responsible for capital spend on Health properties used by the Inverclyde HSCP. The Primary Care Improvement Plan earmarked reserve is being utilised to fund some minor works to assist delivery of the plan. There are also some minor works allocations on a non-recurring basis which are available to fund work on Health properties.

10.0 KEY ASSUMPTIONS

- These forecasts are based on information provided from the Council and Health Board ledgers.
- Prescribing forecasts are based on advice from the Health Board prescribing team using the latest available actuals and horizon scanning techniques.

11.0 IMPLICATIONS

11.1 The table below shows whether risks and implications apply if the recommendation(s) is(are) agreed:

SUBJECT	YES	NO
Financial	x	
Legal/Risk		x
Human Resources		x
Strategic Plan Priorities	x	
Equalities, Fairer Scotland Duty & Children and Young People		x
Clinical or Care Governance		x
National Wellbeing Outcomes		x
Environmental & Sustainability		x
Data Protection		x

11.2 Finance

One off Costs

Cost Centre	Budget Heading	Budget Years	Proposed Spend this Report	Virement From	Other Comments
N/A					Contained in report

Annually Recurring Costs/ (Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact	Virement From (If Applicable)	Other Comments
N/A					Contained in report

11.3 Legal/Risk

There are no legal/risk implications contained within this report.

11.4 Human Resources

There are no human resources implications arising from this report.

11.5 Strategic Plan Priorities

There are no strategic plan priorities issues arising from this report.

11.6 Equalities

(a) Equalities

This report has been considered under the Corporate Equalities Impact Assessment (EqIA) process with the following outcome:

	YES – Assessed as relevant and an EqIA is required.
x	NO – This report does not introduce a new policy, function or strategy or recommend a substantive change to an existing policy, function or strategy. Therefore, assessed as not relevant and no EqIA is required. Provide any other relevant reasons why an EqIA is not necessary/screening statement.

(b) Equality Outcomes

How does this report address our Equality Outcomes?

Equalities Outcome	Implications
People, including individuals from the above protected characteristic groups, can access HSCP services.	None
Discrimination faced by people covered by the protected characteristics across HSCP services is reduced if not eliminated.	None

People with protected characteristics feel safe within their communities.	None
People with protected characteristics feel included in the planning and developing of services.	None
HSCP staff understand the needs of people with different protected characteristic and promote diversity in the work that they do.	None
Opportunities to support Learning Disability service users experiencing gender based violence are maximised.	None
Positive attitudes towards the resettled refugee community in Inverclyde are promoted.	None

(c) Fairer Scotland Duty

If this report affects or proposes any major strategic decision:-

Has there been active consideration of how this report's recommendations reduce inequalities of outcome?

	YES – A written statement showing how this report's recommendations reduce inequalities of outcome caused by socio-economic disadvantage has been completed.
x	NO – Assessed as not relevant under the Fairer Scotland Duty for the following reasons: Provide reasons why the report has been assessed as not relevant.

(d) **Children and Young People**

Has a Children's Rights and Wellbeing Impact Assessment been carried out?

	YES – Assessed as relevant and a CRWIA is required.
x	NO – Assessed as not relevant as this report does not involve a new policy, function or strategy or recommends a substantive change to an existing policy, function or strategy which will have an impact on children's rights.

11.7 **Clinical or Care Governance**

There are no clinical or care governance issues arising from this report.

11.8 **National Wellbeing Outcomes**

How does this report support delivery of the National Wellbeing Outcomes?

National Wellbeing Outcome	Implications
People are able to look after and improve their own health and wellbeing and live in good health for longer.	None
People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community	None
People who use health and social care services have positive experiences of those services, and have their dignity respected.	None

Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.	None
Health and social care services contribute to reducing health inequalities.	None
People who provide unpaid care are supported to look after their own health and wellbeing, including reducing any negative impact of their caring role on their own health and wellbeing.	None
People using health and social care services are safe from harm.	None
People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.	None
Resources are used effectively in the provision of health and social care services.	Effective financial monitoring processes ensure resources are used in line with the Strategic Plan to deliver services efficiently

11.9 Environmental/Sustainability

Summarise any environmental / climate change impacts which relate to this report.

Has a Strategic Environmental Assessment been carried out?

	YES – assessed as relevant and a Strategic Environmental Assessment is required.
x	NO – This report does not propose or seek approval for a plan, policy, programme, strategy or document which is like to have significant environmental effects, if implemented.

11.10 Data Protection

Has a Data Protection Impact Assessment been carried out?

	YES – This report involves data processing which may result in a high risk to the rights and freedoms of individuals.
x	NO – Assessed as not relevant as this report does not involve data processing which may result in a high risk to the rights and freedoms of individuals.

12.0 DIRECTIONS

12.1 Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	
	2. Inverclyde Council	
	3. NHS Greater Glasgow & Clyde (GG&C)	
	4. Inverclyde Council and NHS GG&C	x

13.0 CONSULTATION

- 13.1 The report has been prepared by the Chief Officer of Inverclyde Health and Social Care Partnership (HSCP) after due consideration with relevant senior officers in the HSCP.

14.0 BACKGROUND PAPERS

- 14.1 2023/24 Revenue Budget paper to Integration Joint Board 20 March 2023
<https://www.inverclyde.gov.uk/meetings/documents/16133/09%20Inverclyde%20IJB%20Budget%202023-24.pdf>

INVERCLYDE HSCP**REVENUE BUDGET 2023/24 PROJECTED POSITION****PERIOD 5: 1 April 2023 - 31 August 2023**

SUBJECTIVE ANALYSIS	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
Employee Costs	63,293	72,439	71,945	(494)	-0.7%
Property Costs	1,128	1,330	1,295	(35)	-2.6%
Supplies & Services	7,412	7,619	6,790	(829)	-10.9%
Payments to other bodies	50,866	51,879	53,105	1,226	2.4%
Family Health Services	27,531	27,414	27,414	0	0.0%
Prescribing	19,781	19,813	20,563	750	3.8%
Resource transfer	18,975	19,589	19,589	0	0.0%
Income	(23,648)	(28,329)	(28,452)	(123)	0.4%
HSCP NET DIRECT EXPENDITURE	165,337	171,754	172,249	495	0.3%
Set Aside	35,398	35,398	35,398	0	0.0%
HSCP NET TOTAL EXPENDITURE	200,735	207,152	207,647	495	0.2%

OBJECTIVE ANALYSIS	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
Strategy & Support Services	3,688	3,558	3,153	(405)	-11.4%
Management & Admin	4,860	5,310	4,956	(354)	-6.7%
Older Persons	31,064	31,203	29,044	(2,159)	-6.9%
Learning Disabilities	10,249	10,305	10,485	180	1.7%
Mental Health - Communities	5,139	5,329	5,081	(248)	-4.7%
Mental Health - Inpatient Services	10,328	11,262	12,876	1,614	14.3%
Children & Families	16,809	16,107	18,164	2,057	12.8%
Physical & Sensory	2,906	2,869	3,153	284	9.9%
Alcohol & Drug Recovery Service	2,892	3,985	3,258	(727)	-18.2%
Assessment & Care Management / Health & Community Care	9,801	14,068	13,451	(617)	-4.4%
Criminal Justice / Prison Service	97	97	143	46	0.0%
Homelessness	1,159	1,113	1,187	74	6.6%
Family Health Services	27,402	27,412	27,412	(1)	-0.0%
Prescribing	19,968	20,004	20,754	750	3.7%
Resource Transfer	18,975	19,132	19,132	0	0.0%
HSCP NET DIRECT EXPENDITURE	165,337	171,754	172,249	495	0.3%
Set Aside	35,398	35,398	35,398	0	0.0%
HSCP NET TOTAL EXPENDITURE	200,735	207,152	207,647	495	0.2%
FUNDED BY					
NHS Contribution to the IJB	97,181	103,598	104,070	472	0.5%
NHS Contribution for Set Aside	35,398	35,398	35,398	0	0.0%
Council Contribution to the IJB	68,156	68,156	68,179	23	0.0%
HSCP NET INCOME	200,735	207,152	207,647	495	0.2%
HSCP OPERATING (SURPLUS)/DEFICIT			495		
Anticipated movement in reserves *			7,671		
HSCP ANNUAL ACCOUNTS PROJECTED REPORTING (SURPLUS)/DEFICIT			8,166		

* See Reserves Analysis for full breakdown

SOCIAL CARE**REVENUE BUDGET 2023/24 PROJECTED POSITION****PERIOD 5: 1 April 2023 - 31 August 2023**

SUBJECTIVE ANALYSIS	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
SOCIAL CARE					
Employee Costs	37,478	38,257	37,280	(977)	-2.55%
Property costs	1,122	1,322	1,287	(35)	-2.65%
Supplies and Services	1,211	1,211	1,210	(1)	-0.08%
Transport and Plant	355	355	239	(116)	-32.68%
Administration Costs	772	826	875	49	5.93%
Payments to Other Bodies	50,866	51,879	53,105	1,226	2.36%
Income	(23,648)	(25,694)	(25,817)	(123)	0.48%
SOCIAL CARE NET EXPENDITURE	68,156	68,156	68,179	23	0.03%

OBJECTIVE ANALYSIS	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
SOCIAL CARE					
Children & Families	12,905	12,773	15,024	2,251	17.62%
Criminal Justice	97	97	143	46	47.42%
Older Persons	31,064	31,203	29,044	(2,159)	-6.92%
Learning Disabilities	9,669	9,633	9,860	227	2.36%
Physical & Sensory	2,906	2,869	3,153	284	9.90%
Assessment & Care Management	2,824	2,733	2,500	(233)	-8.53%
Mental Health	1,735	1,681	1,555	(126)	-7.50%
Alcohol & Drugs Recovery Service	1,017	1,035	626	(409)	-39.52%
Homelessness	1,159	1,113	1,187	74	6.65%
Finance, Planning and Resources	1,949	1,904	2,009	105	0.00%
Business Support	2,831	3,115	3,078	(37)	0.00%
SOCIAL CARE NET EXPENDITURE	68,156	68,156	68,179	23	0.03%

COUNCIL CONTRIBUTION TO THE IJB	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
Council Contribution to the IJB	68,156	68,156	68,179	23	0.03%
Projected Transfer (from) / to Reserves				(23)	

HEALTH**REVENUE BUDGET 2023/24 PROJECTED POSITION****PERIOD 5: 1 April 2023 - 31 August 2023**

SUBJECTIVE ANALYSIS	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
HEALTH					
Employee Costs	25,815	34,182	34,665	483	1.41%
Property	6	8	8	0	0.00%
Supplies & Services	5,074	5,227	4,466	(761)	-14.56%
Family Health Services (net)	27,531	27,414	27,414	0	0.00%
Prescribing (net)	19,781	19,813	20,563	750	3.79%
Resource Transfer	18,975	19,589	19,589	0	0.00%
Income	(0)	(2,635)	(2,635)	0	0.00%
HEALTH NET DIRECT EXPENDITURE	97,181	103,598	104,070	472	0.46%
Set Aside	35,398	35,398	35,398	0	0.00%
HEALTH NET DIRECT EXPENDITURE	132,579	138,996	139,468	472	0.34%

OBJECTIVE ANALYSIS	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
HEALTH					
Children & Families	3,904	3,334	3,140	(194)	-5.82%
Health & Community Care	6,977	11,335	10,951	(384)	-3.39%
Management & Admin	2,029	2,195	1,878	(317)	-14.44%
Learning Disabilities	580	672	625	(47)	-6.99%
Alcohol & Drug Recovery Service	1,875	2,950	2,632	(318)	-10.78%
Mental Health - Communities	3,404	3,648	3,526	(122)	-3.34%
Mental Health - Inpatient Services	10,328	11,262	12,876	1,614	14.33%
Strategy & Support Services	657	765	614	(151)	-19.74%
Family Health Services	27,402	27,412	27,412	0	0.00%
Prescribing	19,968	20,004	20,754	750	3.75%
Financial Planning	1,082	889	530	(359)	0.00%
Resource Transfer	18,975	19,132	19,132	0	0.00%
HEALTH NET DIRECT EXPENDITURE	97,181	103,598	104,070	472	0.46%
Set Aside	35,398	35,398	35,398	0	0.00%
HEALTH NET DIRECT EXPENDITURE	132,579	138,996	139,468	472	0.34%

HEALTH CONTRIBUTION TO THE IJB	Budget 2023/24 £000	Revised Budget 2023/24 £000	Projected Out-turn 2023/24 £000	Projected Over/(Under) Spend £000	Percentage Variance
NHS Contribution to the IJB	132,579	138,996	139,468	472	0.34%
Transfer (from) / to Reserves				(472)	

Budget Movements 2023/24
Inverclyde HSCP

Inverclyde HSCP - Service	Approved Budget	Movements			Transfers (to)/ from Earmarked Reserves	Revised Budget 2023/24
	2023/24	Inflation	Virement	Supplementary Budgets		
	£000	£000	£000	£000	£000	£000
Children & Families	16,809	0	105	(807)	0	16,107
Criminal Justice	97	0	0	0	0	97
Older Persons	31,064	0	139	0	0	31,203
Learning Disabilities	10,249	0	49	7	0	10,305
Physical & Sensory	2,906	0	(37)	0	0	2,869
Assessment & Care Management/ Health & Community Care	9,801	0	378	3,891	0	14,070
Mental Health - Communities	5,139	0	156	34	0	5,329
Mental Health - In Patient Services	10,328	0	840	94	0	11,262
Alcohol & Drug Recovery Service	2,892	20	247	826	0	3,985
Homelessness	1,159	0	(46)	0	0	1,113
Strategy & Support Services	3,688	2,276	(2,426)	16	0	3,554
Management, Admin & Business Support	4,860	0	428	23	0	5,311
Family Health Services	27,402	0	10	0	0	27,412
Prescribing	19,968	0	0	36	0	20,004
Resource Transfer	18,975	0	157	0	0	19,132
Set aside	35,398	0	0	0	0	35,398
Totals	200,735	2,296	0	4,120	0	207,152

Social Care - Service	Approved Budget	Movements			Transfers (to)/ from Earmarked Reserves	Revised Budget 2023/24
	2023/24	Inflation	Virement	Supplementary Budgets		
	£000	£000	£000	£000	£000	£000
Children & Families	12,905		(132)			12,773
Criminal Justice	97		0			97
Older Persons	31,064		139			31,203
Learning Disabilities	9,669		(36)			9,633
Physical & Sensory	2,906		(37)			2,869
Assessment & Care Management	2,824		(91)			2,733
Mental Health - Community	1,735		(54)			1,681
Alcohol & Drug Recovery Service	1,017		18			1,035
Homelessness	1,159		(46)			1,113
Strategy & Support Services	1,949		(45)			1,904
Business Support	2,831		284			3,115
Totals	68,156	0	0	0	0	68,156

Health - Service	Approved Budget	Movements			Transfers (to)/ from Earmarked Reserves	Revised Budget 2023/24
	2023/24	Inflation	Virement	Supplementary Budgets		
	£000	£000	£000	£000	£000	£000
Children & Families	3,904		237	(807)		3,334
Health & Community Care	6,977		469	3,890		11,336
Management & Admin	2,029		143	23		2,195
Learning Disabilities	580		85	7		672
Alcohol & Drug Recovery Service	1,875	20	229	826		2,950
Mental Health - Communities	3,404		210	34		3,648
Mental Health - Inpatient Services	10,328		840	95		11,263
Strategy & Support Services	657		102	6		765
Family Health Services	27,402		10			27,412
Prescribing	19,968			36		20,004
Financial Planning	1,082	2,276	(2,482)	10		886
Resource Transfer	18,975		157			19,132
Set aside	35,398					35,398
Totals	132,579	2,296	0	4,120	0	138,996

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
 (SCOTLAND) ACT 2014

THE INVERCLYDE COUNCIL is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB's Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 2, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 2, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2023/24 £000
SOCIAL CARE	
Employee Costs	38,257
Property costs	1,322
Supplies and Services	1,211
Transport and Plant	355
Administration Costs	826
Payments to Other Bodies	51,879
Income (incl Resource Transfer)	(25,694)
SOCIAL CARE NET EXPENDITURE	68,156
Social Care Transfer from EMR	23
Health Transfer from EMR *	472
Total anticipated transfer from EMR at year end	495 *

OBJECTIVE ANALYSIS	Budget 2023/24 £000
SOCIAL CARE	
Children & Families	12,773
Criminal Justice	97
Older Persons	31,203
Learning Disabilities	9,633
Physical & Sensory	2,869
Assessment & Care Management	2,733
Mental Health	1,681
Alcohol & Drugs Recovery Service	1,035
Homelessness	1,113
Finance, Planning and Resources	1,904
Business Support	3,115
SOCIAL CARE NET EXPENDITURE	68,156

* to be funded by reserves held for IJB

This direction is effective from 14 November 2023

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
(SCOTLAND) ACT 2014

GREATER GLASGOW & CLYDE NHS HEALTH BOARD is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB’s Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 1, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 1, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2023/24 £000
HEALTH	
Employee Costs	34,182
Property costs	8
Supplies and Services	5,227
Family Health Services (net)	27,414
Prescribing (net)	19,813
Resources Transfer	19,589
Income	(2,635)
HEALTH NET DIRECT EXPENDITURE	103,598
Set Aside	35,398
NET EXPENDITURE INCLUDING SCF	138,996

OBJECTIVE ANALYSIS	Budget 2023/24 £000
HEALTH	
Children & Families	3,334
Health & Community Care	11,335
Management & Admin	2,195
Learning Disabilities	672
Alcohol & Drug Recovery Service	2,950
Mental Health - Communities	3,648
Mental Health - Inpatient Services	11,262
Strategy & Support Services	765
Family Health Services	27,412
Prescribing	20,004
Financial Planning	889
Resource Transfer	19,132
HEALTH NET DIRECT EXPENDITURE	103,598
Set Aside	35,398
NET EXPENDITURE INCLUDING SCF	138,996

Health Transfer from EMR	472
--------------------------	-----

HSCP Transformation Board
 HB Transformation Fund Monitoring Report

Total Fund Balance as at 1 April 2023
 1,838,882
 Balance committed to date
 1,258,910
 Balance still to be committed
 579,972

Project No	Project Title	Service Area	Service Manager	Date Approved	Social Care/ Health Spend	Undeclared Agreed Funding (incl amendments for detail)	2020/21 Spend	2021/22 Spend	2022/23 Spend	2023/24 Spend	Balance to spend	Updates
035	Review of Care and Support at Home. 12 month fixed term posts 0.5wte Grade 10 Project Lead and 2wte Grade 35	Health & Community Care	Joyce Allan	03/11/21	Social Care	98,600		8,715	32,621	40,343	15,921	1wte Gd 5 31/10/23-2/10/23, 1wte Gd 5 22/5/23-21/5/24, 0.5wte Gd 10/3/23-9/3/24.
037	Planning & Redesign Support Officer - will be responsible for the Locality Planning and Community Engagement Work with a focus also on the Business Support Review. F131k over 2 years.	Planning	Andrina Hunter		Either	131,000			34,884	16,062	80,054	Post filled September 22.
038	Ipromise - Mind of my own - digital resources to allow young people to access software 24/7.	Children's Services	Lesley Ellis	30/05/22	Social Care	53,176			35,949		17,227	Advised that as this went through G Cloud there was only the option of 2 year contract rather than the 3 years. Remaining costs will be incurred in year 3.
039	SMIET Transition project - benefit 18 month project	HSCP wide	Marie Kellys		Social Care	497,729					497,729	Recruitment has been delayed due to Discovery process due diligence
040	C&F Spend to Save. Recruitment of 5 x temp SWAs. Staffing increase would allow for 18 months of work with a 6 month assessment/short term work with a view to reducing placement pressure.	Children's Services	Audrey Howard	21/03/22	Social Care	179,760			14,382	69,982	95,396	Confirmed 1wte started 30/1/23. 2.5wte started 27/2/23 and remaining 1.5wte will start 10/4/23.
041	Learning Academy - newly qualified social worker supported year and practice teaching hub 2 year project	Strategy & Support Services	Ariene Malley	21/09/22	Social Care	53,690			6,190	14,287	35,203	Staff already in post, costs will transfer to this funding from 10 January 23.
042	Band 3 Implant Phlebomy post for 1 year, part of the plan to address issues raised by the December visit	Mental Health Services	Gail Kibane	21/09/22	Health	32,000					32,000	Post was filled 14/8/23.
043	OPMH Clinical Fellows, share of Swie Clinical Fellows across GGAC to address recruitment issues within medical staffing. 18-24 month costs.	Mental Health Services	Gail Kibane	21/09/22	Health	58,000			18,424	5,537	34,040	Posts filled September 2022.
044	MH Peer Support Worker B3, bal of funding for 1 year to develop local peer support model.	Mental Health Services	Gail Kibane	21/09/22	Health	16,000				4,465	11,535	Person in post from 1 April 2024.
045	CAMHS Clinical Nurse Specialist - 2 year post 1wte Band 7 and 0.2wte Band 3 admin (inc IT equipment and phone)	C&F	Audrey Howard/Lynn Smith	20/03/23	Health	136,434				1,201	135,233	Band 3 admin post recruited. Band 7 started recently, will invoice for costs in the next quarter.
046	Maximising Independence - Make Early Contact Count and Supporting self management Community of Practice. 1wte Band 5 18 months and training.	All	Dabbin Maloney/Ann Murray	28/06/23	Health	85,060					85,060	Vac ref 740
047	The Lens have partnered with Inverclyde HSCP, including The Promise Team to develop an Ideas to Action Programme which will support Inverclyde's vision and ambition to deliver The Promise and improve outcomes for children and young people.	C&F	Jonathan Hinds	23/08/23	Social Care	50,000					50,000	Training with The Lens under way

APPENDIX 7

INVERCLYDE HSCP - CAPITAL BUDGET 2023/24

PERIOD 5: 1 April 2023 - 31 August 2023

Project Name	Est Total Cost £000	Current year				Future years			
		Actual to 31/03/23 £000	Approved Budget 2023/24 £000	Revised Estimate 2023/24 £000	Actual to 31/8/23 £000	Estimate 2024/25 £000	Estimate 2025/26 £000	Estimate 2026/27 £000	Future Years £000
Social Work									
New Community Hub	9,507	332	2,401	760	136	8,241	174	0	0
Swift Upgrade	200	0	200	200	0	0	0	0	0
Social Work Total	9,707	332	2,601	960	136	8,241	174	0	0

Classification - No Classification

Summary of Balance and Projected use of reserves

EMR type/source	Balance at 31 March 2022 £000	Projected net spend/ (Additions) 2022/23 £000s	Projected balance as at 31 March 2023 £000s	Earmark for future years £000s	Health /Council	CO/Head of Service	Responsible officer	Comments
SCOTTISH GOVERNMENT FUNDING - SPECIFIC FUNDS								
Mental Health Action 15	21	21	0	0	Health	Gail Kilbane - MH	Gail Kilbane	Fully committed
Alcohol & Drug Partnerships	894	894	0	0	Health	Gail Kilbane- MH	Gail Kilbane	Fully committed
Primary Care Improvement Programme	156	156	0	0	Health	Alan Best	Pauline Atkinson	Fully committed, 23/24 allocation reduced by reserves amount
Community Living Change	292	153	139	139	Health/Council	Alan Best	Laura Porter	Work ongoing. Funds will be fully utilised
Winter planning - MDT	253	253	0	0	Health	Alan Best	Debbi Maloney	Fully committed
Winter planning - Health Care Support Worker	331	85	246	246	Health	Alan Best	Laura Moore - Chief Nurse	£0.280m committed. Work ongoing to identify commitments to ensure funds fully utilised
Winter pressures - Care at Home	1,059	379	680	680	Council	Alan Best	Joyce Allan	Care and support at home review commitments plus ongoing care at home requirements being progressed.
Winter pressures - Interim Beds	92	92	0	0	Council	Alan Best	Martin McGarrity	Complete
Care home oversight	65	39	26	26	Health	Alan Best	Laura Moore - Chief Nurse	Any unused funds at year end to be earmarked for continuation
Learning Disability Health Checks	32	32	0	0	Health	Alan Best	Laura Moore - Chief Nurse	Fully committed
Carers	304	150	154	154	Council	Alan Best	Alan Best	Consultation being undertaken with carers with regards to service development. Any unused funds to be held specifically for Carers.
MH Recovery & Renewal	784	436	348	348	Health	Gail Kilbane	Gail Kilbane	Any unused funds at year end to be earmarked for continuation
Sub-total	4,283	2,690	1,593	1,593				
EXISTING PROJECTS/COMMITMENTS								
Integrated Care Fund	108	108	0	0	Council	Alan Best	Alan Best	Fully committed
Delayed Discharge	93	39	54	54	Council	Alan Best	Martin McGarrity	Fully committed
Welfare	341	20	321	321	Council	Craig Given	Emma Cummings	Fully committed
Primary Care Support	569	285	284	284	Health	Hector McDonald	Pauline Atkinson	Fully committed
SWIFT Replacement Project	372	166	216	216	Council	Craig Given	Marie Keirs	For project implementation and contingency
Rapid Rehousing Transition Plan (RRTP)	180	180	0	0	Council	Gail Kilbane	Gail Kilbane	Fully committed
LD Estates	500	0	500	500	Council	Alan Best	Laura Porter	LD Hub non capital spend reserve
Refugee Scheme	2,190	512	1,678	1,678	Council	Alan Best	Emma Cummings	For continued support for refugees in Inverclyde area. New Scots Team, third sector support, help with property related matters etc
Tier 2 Counselling	329	63	266	266	Council	Jonathon Hinds	Lynn Smith	School counselling contract being renewed. Commitment held for future years
CAMHS Tier 2	100	100	0	0	Health	Jonathon Hinds	Lynn Smith	Earmark for continuation of project
Whole Family Wellbeing	486	243	243	243	Council	Jonathon Hinds	Molly Coyle/Lesley Ellis	Staffing structure agreed. Work ongoing to commit remaining balance
Dementia Friendly Inverclyde	9	9	0	0	Council	Gail Kilbane	Alan Crawford	Fully committed
Contribution to Partner Capital Projects	1,099	150	949	949	Council	Kate Rocks	Allen Stevenson	LD Hub spend reprofiled to later years 500k contribution likely to be during next financial year
Staff Learning & Development Fund	404	200	204	204	Council/Health	Allen Stevenson	Arlene Mailey	Training board led spend for MSC students, staff support, Grow your own and ongoing Social work Adult/Child protection training
Homelessness	450	272	178	178	Council	Gail Kilbane	Gail Kilbane	Redesign transition funding
Autism Friendly	157	82	75	75	Council	Alan Best	Alan Best	To implement the National and Local Autism strategies with an aim to create an 'Autism Inclusive Inverclyde'.
Temporary Posts	675	300	375	375	Council	Various	Various	Temporary posts over 23/24 and 24/25 financial years
ADRS fixed term posts	109	109	0	0	Council	Gail Kilbane	Gail Kilbane	For continuation of fixed term posts
National Trauma Training	50	50	0	0	Council	Jonathan Hinds	Laurence Reilly	Balance held from 22/23. Will be fully committed in 23/24
Cost of Living	265	265	0	0	Council	Kate Rocks	Marie Keirs	Programme still underway. Remaining balance £0.029m. Support still being allocated where need is identified
Wellbeing	15	14	1	1	Council	Alan Best	Alan Best	Third sector now engaged for delivery of wellbeing campaign
Sub-total	8,501	3,157	5,344	5,344				
TRANSFORMATION PROJECTS								
Transformation Fund	1,739	267	1,472	1,472	Shared	Kate Rocks	Various	£1.259m of full balance available committed. Spend will be incurred over this year and next two financial years
Additions Review	292	65	237	237	Shared	Gail Kilbane	Gail Kilbane	Redesign transition funding
Mental Health Transformation	637	147	490	490	Shared	Gail Kilbane	Gail Kilbane	Fully committed towards ANP service within MH
JB Digital Strategy	583	353	230	230	Shared	Alan Best	Joyce Allan	Analogue to Digital commitments - spending plan ongoing
Sub-total	3,251	822	2,429	2,429				
BUDGET SMOOTHING								
Adoption/Fostering/Residential Childcare	1,500	200	1,300	1,300	Council	Jonathon Hinds	Molly Coyle	£0.2m draw anticipated at year end based on current overall position for Social Care
Prescribing	1,091		1,091	1,091	Health	Alan Best	Alan Best	
Continuous Care	425		425	425	Council	Jonathon Hinds	Molly Coyle	
Residential & Nursing Placements	1,286		1,286	1,286	Council	Alan Best	Alan Brown	
LD Client Commitments	600		600	600	Council	Alan Best	Laura Porter	
Client Commitments - general	605		605	605	Council	Kate Rocks	Craig Given	
Pay contingency	1,085	199	886	886	Council	Craig Given	Craig Given	
Sub-total	6,592	399	6,193	6,193				
Specific earmarking requests	0	0	0	0				Specific earmarking requested during 22/23
Total Earmarked	22,627	7,968	15,559	15,559				
UN-EARMARKED RESERVES								
General	1,635	1,098	537	537	JB	Craig Given		Projected overspend of 0.495 assumed to be funded from balance as at P3
Un-Earmarked Reserves	1,635	1,098	537	537				
TOTAL Reserves	24,262	8,166	16,096	16,096				